

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

16518.132

First Inventor

Mylavarapu VENKATRAMESH

Title

Transgenic Plants Containing Altered Levels of Sterol Compounds and Tocopherols

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **157**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **60**]
5. Oath or Declaration [Total Pages **60**]
 - a. ☒ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy of Appointment of New Associate Attorneys of Record and Change of Correspondence Address: Copy of Petition for Extension of Time filed in Parent Application No. 09/548,256

03916 U.S. PRO 10/647517

08/26/03

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09 / 548,256

Prior application information: Examiner Russell Kallis

Group / Art Unit: 1638

For **CONTINUATION** or **DIVISIONAL** APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

28381

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Kristan L. Lansbery

Registration No. (Attorney/Agent)

53,183

Signature

Kristan L. Lansbery

Date

August 26, 2003

August 26, 2003

Mail Stop - Patent Application

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. Continuation Application based on U.S. Application
No. 09/548,256 filed April 12, 2000
Appln. No.: To Be Assigned
Filed: Herewith
For: **Transgenic Plants Containing Altered Levels of Sterol
Compounds and Tocopherols**
Applicants: Mylavarapu VENKATRAMESH *et al.*
Atty Docket: 16518.132

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office (PTO):

1. Utility Patent Application Transmittal (PTO/SB/05);
2. U.S. Continuation Application entitled:
**Transgenic Plants Containing Altered Levels of Sterol Compounds
and Tocopherols**
and naming as inventors:
**Mylavarapu VENKATRAMESH; David R. CORBIN; B. Ganesh
BHAT, Sekhar S. BODDUPALLI; Robert J. GREBENOK; Ganesh
M. KISHORE; Kathryn D. LARDIZABAL; Michael W. LASSNER;
Shaukat H. RANGWALA; and Balasulojini KARUNANANDAA;**
the application consisting of:
 - a. a specification containing:
 - (i). 137 pages of a description prior to the claims;
 - (ii). 19 pages of claims (70 claims);

- (iii). a one (1) page abstract;
- (iv). 31 pages of a paper copy of the sequence listing;
- 3. a Statement Regarding Sequence Submission;
- 4. a copy of a Substitute Declaration executed by Mylavarapu VENKATRAMESH on October 10, 2002;
- 5. an original Substitute Declaration executed by David R. CORBIN on March 18, 2003;
- 6. a copy of a Substitute Declaration executed by B. Ganesh BHAT on October 7, 2002;
- 7. a copy of a Substitute Declaration executed by Sekhar S. BODDUPALLI on October 7, 2002;
- 8. an original Substitute Declaration executed by Robert J. GREBENOK on March 13, 2003;
- 9. a copy of a Substitute Declaration executed by Ganesh M. KISHORE on October 21, 2002;
- 10. a copy of a Substitute Declaration executed by Kathryn D. LARDIZABAL on October 9, 2002;
- 11. a copy of a Substitute Declaration executed by Michael W. LASSNER on October 7, 2002;
- 12. a copy of a Substitute Declaration executed by Shaukat H. RANGWALA on October 8, 2002;
- 13. a copy of a Substitute Declaration executed by Balasulojini KARUNANANDAA on October 8, 2002;
- 14. a Preliminary Amendment;
- 15. a copy of an Appoint of New Associate Attorneys of Record and Change of Correspondence Address;
- 16. a copy of a Petition for Extension of Time (three months) filed in the parent application; and
- 17. two (2) return postcards.

This application is a continuation of U.S. Application No. 09/548,256, filed April 12, 2000.

Please stamp one of the two attached postcards with the filing date of these documents and return it to our courier, and stamp the other prepaid postcard with the filing date and unofficial application number and return it as soon as possible.

Applicants request that the following fees be charged to Deposit Account No. 50-2387 referencing docket number 16518.132:

\$ 750.00 application filing fee

Applicants do not believe any additional fees are due in conjunction with this filing. However, if any fees under 37 C.F.R. §§ 1.16 or 1.17 are required in the present application, including any fees for extensions of time, then the Commissioner is hereby authorized to charge such fees to Arnold & Porter Deposit Account No. 50-2387, referencing matter number 16518.132. A duplicate copy of this letter is enclosed.

Respectfully submitted,



David R. Marsh (Reg. Attorney No. 41,408)
Kristan L. Lansbery (Reg. Agent No. 53,183)

Attachments